

O I P E

OCT. 21 2002

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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28755

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/909,318

Filing Date July 19, 2001

First Named Inventor Jeffery R. Parker

Group Art Unit 2875

Examiner Name

Total Number of Pages in This Submission

5

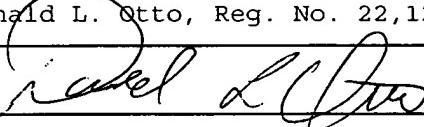
Attorney Docket No. GLOLP0114US

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OCT 23 2002 MAIL ROOM**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply (Preliminary)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Donald L. Otto, Reg. No. 22,125
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Signature	
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Date	October 14, 2002
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

10-14-02

Typed or printed name	Donald L. Otto
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Signature		Date	10-14-02
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COPY

PTO/SB/17-10-01

Approved for use through 10/31/2002, OMB 0651-0032
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 228.00)

Complete if Known

Application Number	09/909,318
Filing Date	July 19, 2001
First Named Inventor	Jeffery R. Parker
Examiner Name	
Group Art Unit	2875
Attorney Docket No.	GLOLP0114US

METHOD OF PAYMENT					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:					
Deposit Account Number	18-0988				
Deposit Account Name	Renner, Otto, Boisselle & Sklar, LLP				
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					
2. <input checked="" type="checkbox"/> Payment Enclosed:					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)					
2. EXTRA CLAIM FEES					
Total Claims	135	127	Extra Claims -20** = 8	Fee from below X 18 = 144	Fee Paid
Independent Claims	18	XX	-17	X 84 = 84	
Multiple Dependent					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 228.00)					

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Fee Code	Large Entity	Small Entity	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath		
127	50	227	25 Surcharge - late provisional filing fee or cover sheet		
139	130	139	130 Non-English specification		
147	2,520	147	2,520 For filing a request for ex parte reexamination		
112	920*	112	920* Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		
115	110	215	55 Extension for reply within first month		
116	400	216	200 Extension for reply within second month		
117	920	217	460 Extension for reply within third month		
118	1,440	218	720 Extension for reply within fourth month		
128	1,960	228	980 Extension for reply within fifth month		
119	320	219	160 Notice of Appeal		
120	320	220	160 Filing a brief in support of an appeal		
121	280	221	140 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive - unavoidable		
141	1,280	241	640 Petition to revive - unintentional		
142	1,280	242	640 Utility issue fee (or reissue)		
143	460	243	230 Design issue fee		
144	620	244	310 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Processing fee under 37 CFR 1.17(q)		
126	180	126	180 Submission of Information Disclosure Stmt		
581	40	581	40 Recording each patent assignment per property (times number of properties)		
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))		
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))		
179	740	279	370 Request for Continued Examination (RCE)		
169	900	169	900 Request for expedited examination of a design application		
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Donald L. Otto	Registration No. (Attorney/Agent)	22,125	Telephone	(216) 621-1113	
Signature					Date	10-14-02

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#3/10
10/29/02
DOS

CERTIFICATE OF MAILING (37 CFR 1.8a)

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Date: October 14, 2002

Donald L. Otto

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket GLOLP0114US

In re PATENT application of

Jeffery R. Parker, et al

Serial No. 09/909,318

Filed July 19, 2001

For: LIGHT REDIRECTING FILMS AND FILM SYSTEMS

Art Unit 2875
(Confirmation No. 1195)

PRELIMINARY AMENDMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

Please add the following additional claims:

128. (New) A light redirecting film comprising a thin optically transparent substrate having individual optical elements of well defined shape to redistribute light passing through the substrate, the optical elements being quite small in relation to a width and length of the substrate, at least some of the optical elements having only two surfaces, both surfaces of the optical elements intersecting each other to form a ridge and both surfaces of the optical elements intersecting the substrate.

10/22/2002 SHINASS1 00000104 09909318

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01 FC:1202
02 FC:1201

144.00 DP
84.00 DP